NON-QUALIFIED TRANSFER OF ASSETS FORM

HANLON MUTUAL FUNDS

Please complete this form only if you are transferring assets directly to a new or existing non-qualified account with the Hanlon Funds (the "Fund"). Please complete a separate form for each account you wish to transfer. Transfers may take 3 to 5 weeks to complete after your paperwork is received in good order.

For Additional Copies or Assistance

If you need additional copies of this form, or would like assistance completing it, please **1-844-828-3212** or go to www.hanlonfunds.com.

Instructions

1. If you are establishing a new account, please contact **1-844-828-3212** or go to www.hanlonfunds.com about additional information that must be submitted with this Form.

2. Mail this Transfer Form to:
   Hanlon Funds
   c/o Gemini Fund Services, LLC
   P.O. Box 541150
   Omaha, NE 68154

   Overnight Delivery:
   Hanlon Funds
   c/o Gemini Fund Services, LLC
   17605 Wright Street, Suite 2
   Omaha, NE 68130

3. Retain a copy for your records.

Anti-Money Laundering

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number/ Tax ID number and other information that will allow us to identify you. We may also ask to see other identifying documents. Until you provide the information or documents we need, we may not be able to open an account or effect any additional transactions for you.

When opening an account for a foreign business, enterprise or a non-U.S. person that does not have an identification number, we require alternative government-issued documentation certifying the existence of the person, business or enterprise.

For questions about these policies, or for additional copies of the Hanlon Funds Privacy Policy Statement, please contact the Fund at **1-844-828-3212** or www.hanlonfunds.com or contact the Hanlon Funds at PO Box 541150, Omaha, NE 68154.

1. ACCOUNT REGISTRATION

Please provide your primary legal address, in addition to any mailing address (if different).

Owner's Name (First, Middle, Last)	Social Security Number
Street Address	Date of Birth
City, State, Zip	Daytime Telephone
Email Address	Evening Telephone

☐ This is a new account. I have completed and enclosed an Application with this transfer form.

☐ This is an existing account. Please apply transfer proceeds to my account number: ______________________________

2. INFORMATION ABOUT YOUR EXISTING ACCOUNT

Name of Firm Currently Holding Your Account ______________________

Account Name ______________________

Street Address ______________________

Account Number ______________________

City, State, Zip ______________________

Firm Telephone Number ______________________

Please attach a copy of the most recent statement for this account.

3. TRANSFER INFORMATION

Please transfer assets from the above account to Hanlon Funds. Transfers should be according to the following instructions:

This transfer is a: (check one)

- ☐ Complete Transfer. Please liquidate all assets in my account.
- ☐ Partial Transfer. Liquidate $ ________from my account.
- ☐ Transfer in kind:
  Please transfer ___________shares of _______________
  (Fund Name)

The type of account I am transferring from is a: (check one)

- ☐ Individual
- ☐ Joint Tenant
- ☐ Transfer on Death
- ☐ Trust
- ☐ Other

The type of account I am transferring to is a: (check one)

- ☐ Individual
- ☐ Joint Tenant
- ☐ Transfer on Death
- ☐ Trust
- ☐ Other

4. CERTIFICATIONS AND SIGNATURES

I hereby authorize this liquidation and/or transfer in kind from my current financial institution to the account designated on this form. By signing below, I certify the information set forth herein is accurate and I have received and read a prospectus for the funds in which I am making my investment. To the extent that I have requested a redemption of mutual fund shares in connection with my transfer, I understand that such shares will be redeemed at the net asset value next determined after my transfer request is reviewed and determined to be in good order by the delivering firm. **The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**

Medallion Signature Guarantee* (if required):
Some Firms require a Medallion Signature Guarantee to transfer assets. Please check with your current firm to see if they require a Medallion Signature Guarantee. Failure to obtain a required signature guarantee may result in a delay in the transfer of assets.

Account Owner's Signature ______________________ Date ______________________

Joint Owner's Signature (if applicable) ______________________ Date ______________________

*A Medallion Signature Guarantee can be obtained from a bank, broker-dealer, a credit union, a national securities exchange, savings association or other financial intermediaries that are members of an Approved Medallion Guarantee Program. A signature by a Notary Public is not acceptable as a signature guarantee.

5. TRANSFER INSTRUCTIONS

Make check payable to:

Hanlon Funds

FBO: ______________________________

Account Number: ______________________________

Mail this Transfer Form to:

Hanlon Funds
c/o Gemini Fund Services, LLC
P.O. Box 541150
Omaha, NE 68154
Or
Via Overnight Delivery
17605 Wright Street, Suite 2
Omaha, NE 68130

Internet
www.hanlonfunds.com

Toll Free - 1-844-828-3212

PRIVACY NOTICE

FACTS	WHAT DOES TWO ROADS SHARED TRUST DO WITH YOUR PERSONAL INFORMATION
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	THE TYPES OF PERSONAL INFORMATION WE COLLECT AND SHARE DEPENDS ON THE PRODUCT OR SERVICE THAT YOU HAVE WITH US. THIS INFORMATION CAN INCLUDE: • Social Security number and income • Account transactions and transaction history • Investment experience and purchase history When you are *no longer* our customer, we continue to share your information as described in this notice.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reason Two Roads Shared Trust chooses to share and whether you can limit this sharing.

Reasons we can share your personal information	**Does Two Roads Shared Trust share?**	**Can you limit this sharing?**
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes – to offer our products and services to you	NO	We do not share
For joint marketing with other financial companies	NO	We do not share
For our affiliates' everyday business purposes – information about your transactions and experiences	NO	We do not share
For our affiliates' everyday business purposes – information about your creditworthiness	NO	We do not share
For our affiliates to market to you	NO	We do not share
For nonaffiliates to market to you	NO	We do not share

Questions?	Call 1-402-895-1600

What we do

How does Two Roads Shared Trust protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Our service providers are held accountable for adhering to strict policies and procedures to prevent any misuse of your nonpublic personal information.
How does Two Roads Shared Trust collect my personal information?	We collect your personal information, for example, when you • open an account or give us contact information • provide account information or give us your income information • make deposits or withdrawals from your account We also collect your personal information from other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only • sharing for affiliates' everyday business purposes – information about your creditworthiness • affiliates from using your information to market to you • sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. • *Two Roads Shared Trust has no affiliates.*
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. • *Two Roads Shared Trust does not share with nonaffiliates so they can market to you.*
Joint marketing	A formal agreement between nonaffiliates financial companies that together market financial products or services to you. • *Two Roads Shared Trust does not jointly market.*